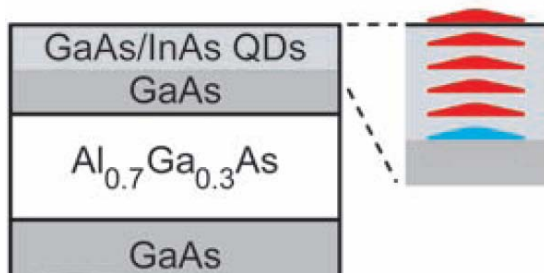
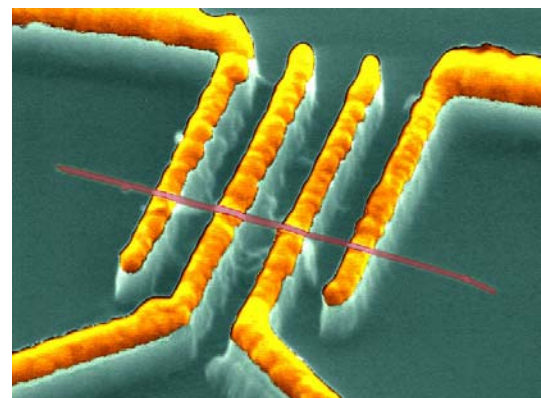


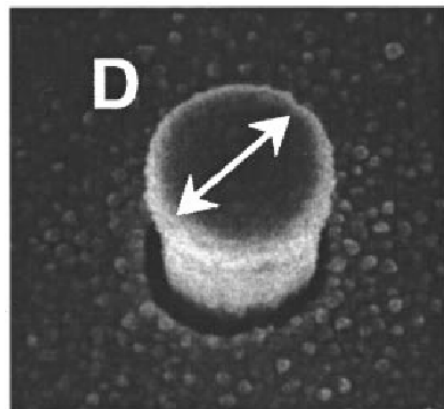
# Quantum Dots



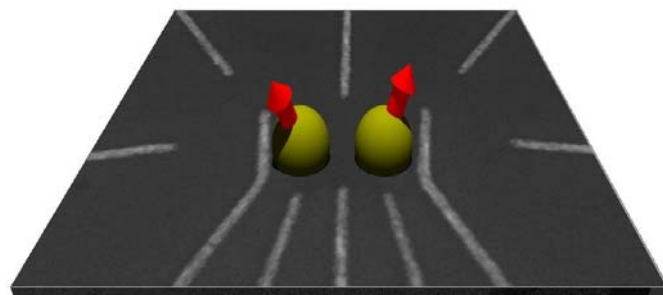
MBE grown



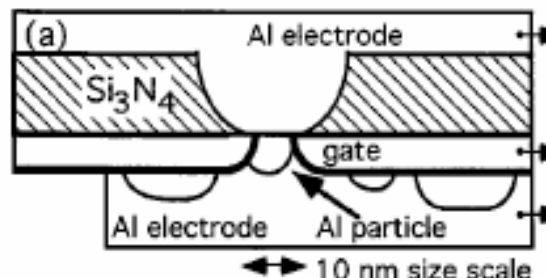
nanotube



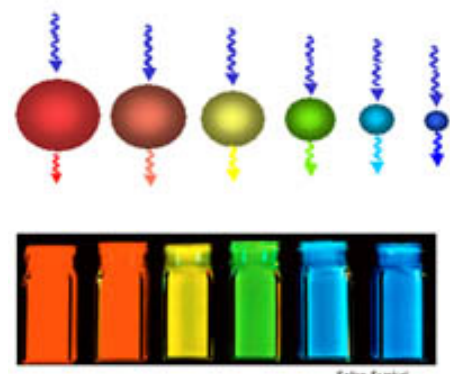
vertical dot



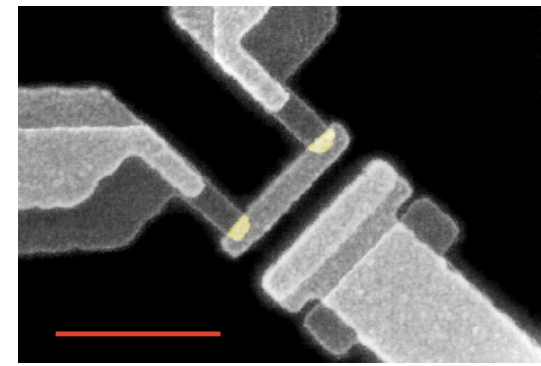
lateral



metal grain

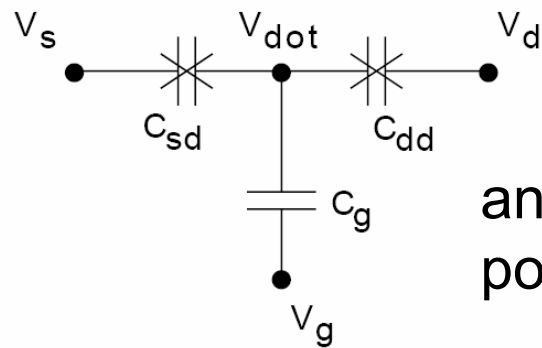
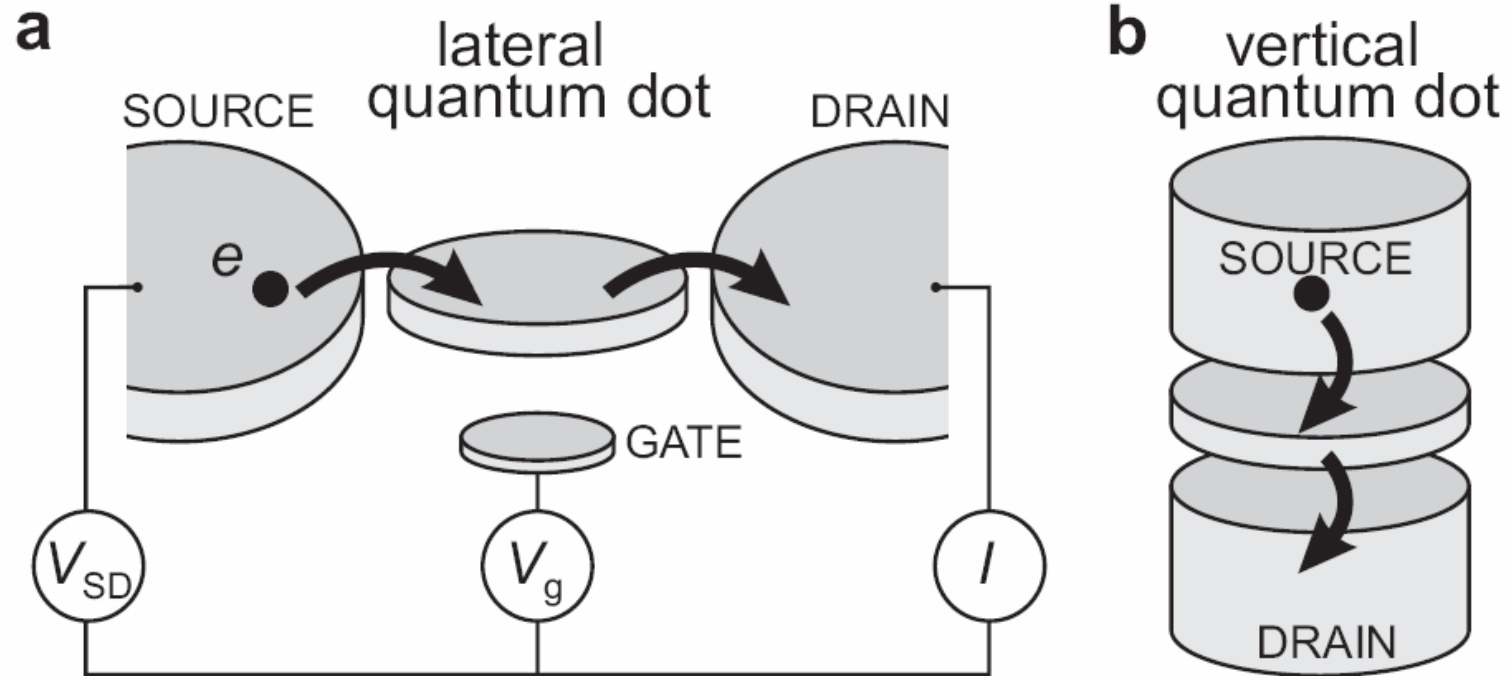


self assembled



metallic SET

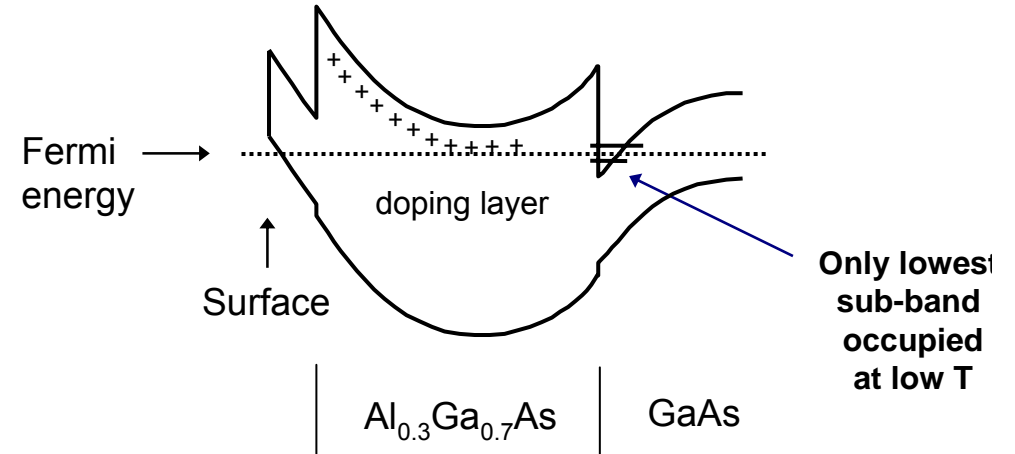
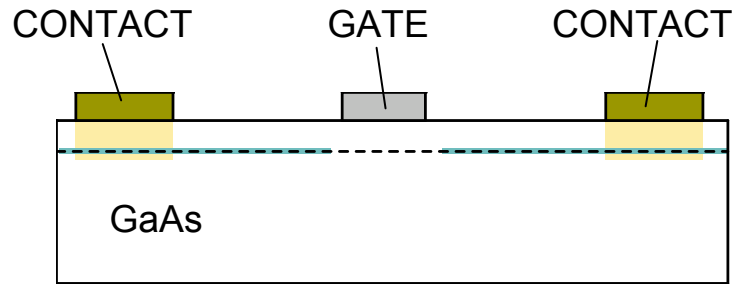
# lateral vs. vertical



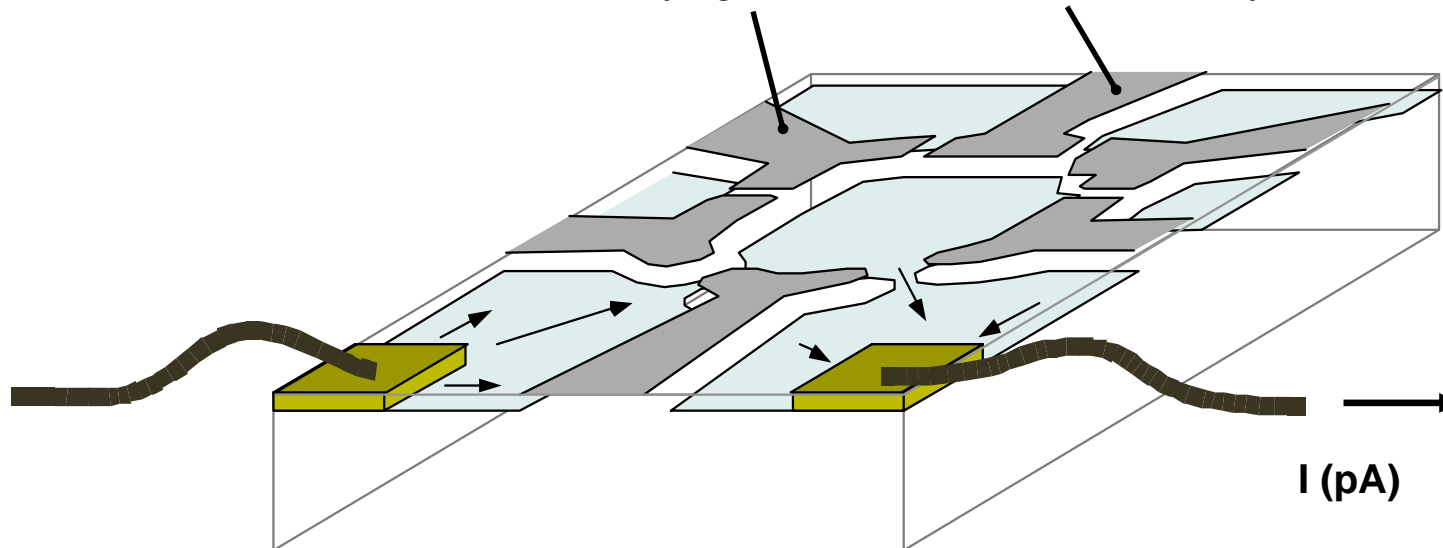
an electrical engineers  
point of view

# Lateral Dots: Formed in GaAs/AlGaAs 2DEG

Electrons travel in sub-surface layer:

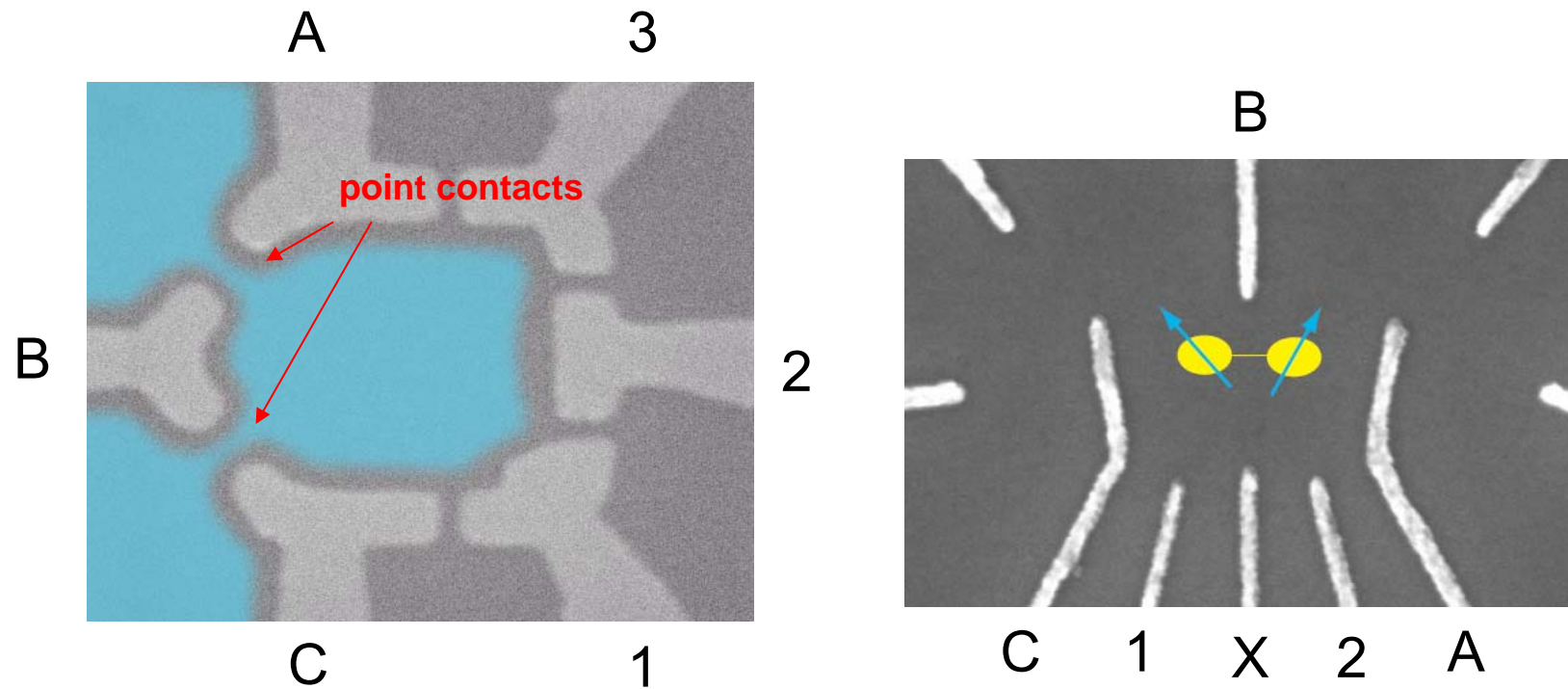


Negative voltage on gates depletes underlying electrons & defines dot cavity



## gate defined dots

---

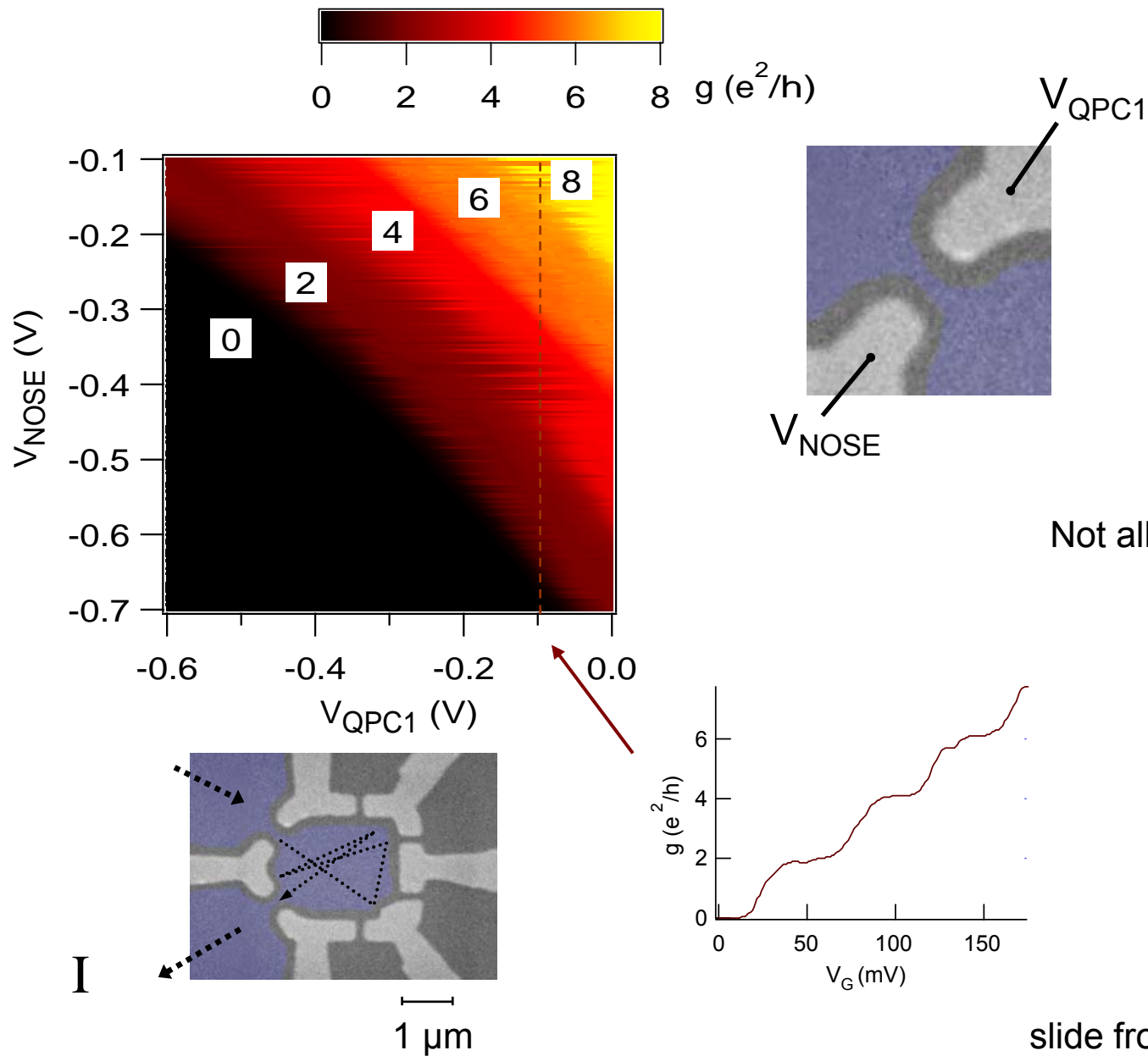


A,B,C : control quantum point contacts  
transmission to reservoirs

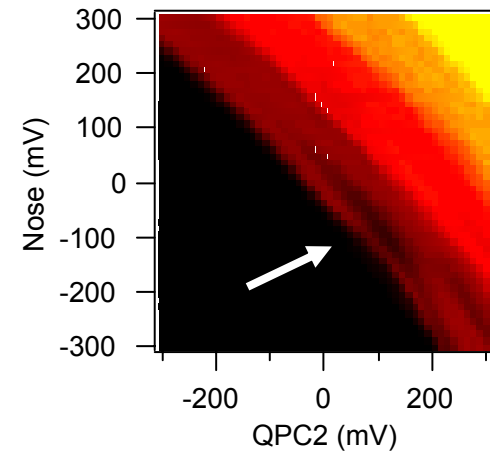
1,2,3: control confinement potential / energy levels only

X control dot-internal tunneling rate

# Quantum Point Contact Leads



Not all QPCs are perfect:

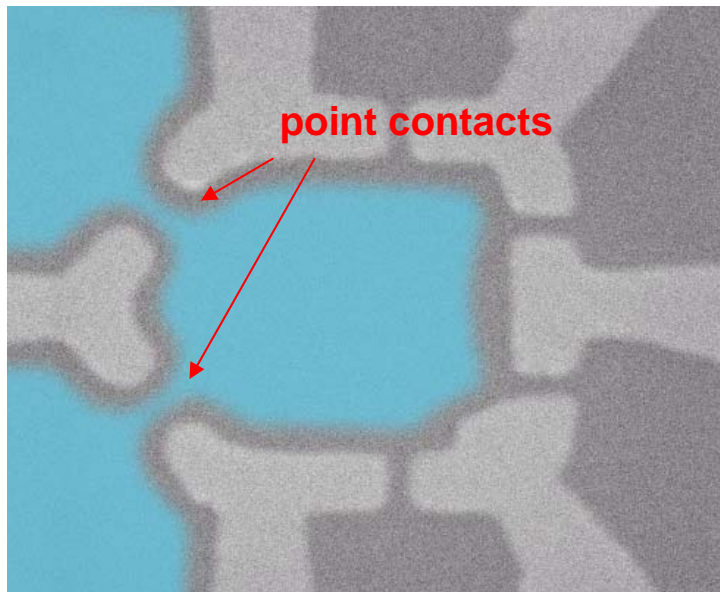


slide from A. Huibers, Thesis (1999)

# Open vs. Closed

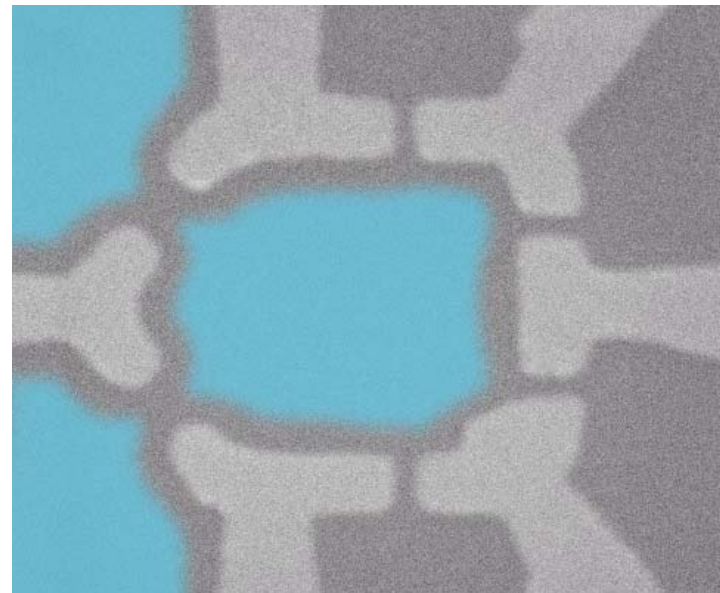
---

## Open Dot



- $V_{\text{gate}}$  set to allow  $\geq 2e^2/h$  conductance through each point contact
- Dot is well-connected to reservoirs
- Transport measurements exhibit CF and Weak Localization

## Closed Dot

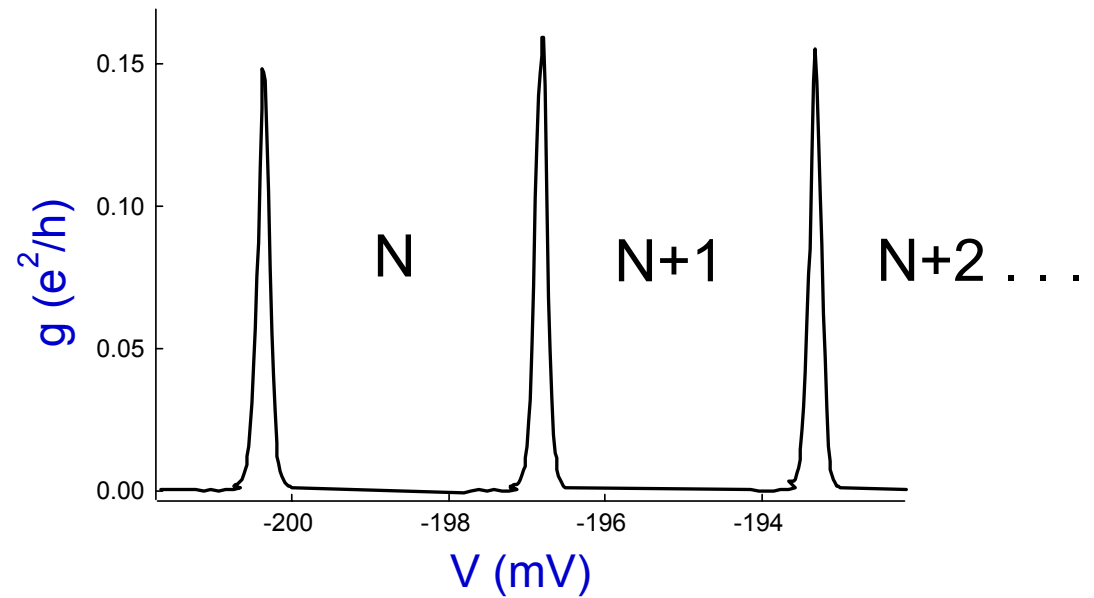
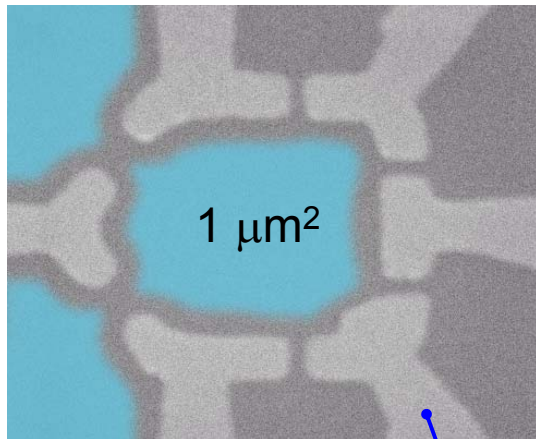


- $V_{\text{gate}}$  set to require tunnelling across point contacts
- Dot is isolated from reservoirs, contains discrete energy levels
- Transport measurements exhibit Coulomb Blockade

# Coulomb Blockade in Closed Dots

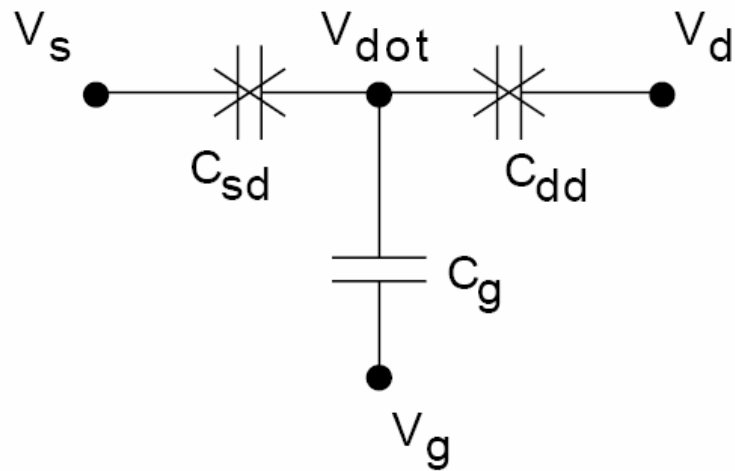
Finite energy  $E_c = e^2/C_{\text{dot}}$  is needed to add an additional electron to the dot.  
When  $kT \ll E_c$  charging blocks conduction in valleys.

Coulomb blockade peaks:  
resonant transport through dot levels



# Electrostatic Energy

---



apply voltages

what is potential on dot?

voltage divider...

$$C_{\Sigma} = C_{sd} + C_{dd} + C_{g1} + C_{g2} + \dots$$

$$V_{dot} = \sum_i \alpha_i V_i$$

$$\alpha_i = \frac{C_i}{C_{\Sigma}}$$

can use  $V_g$  to shift dot energy!!



# Charging Energy

---

capacitance of dot to world =  $C$

$$C = \epsilon_0 \epsilon \frac{A}{d}$$

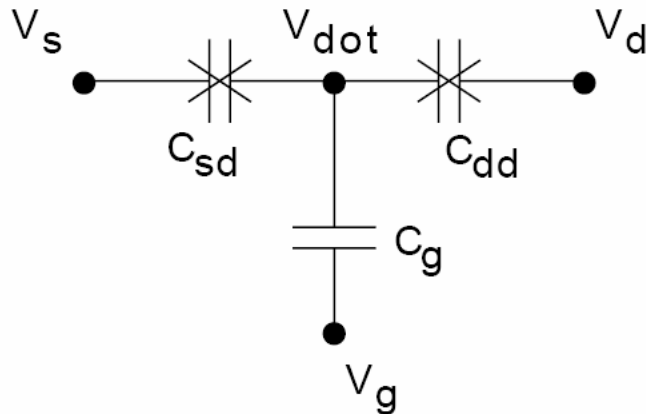
energy stored in capacitor

$$U = \int_0^Q V dq = \int_0^Q \frac{q}{C} dq = \frac{1}{2} \frac{Q^2}{C}$$

charging energy

$$E_C = \frac{e^2}{C_\Sigma}$$

can range from  
~0 to many meV

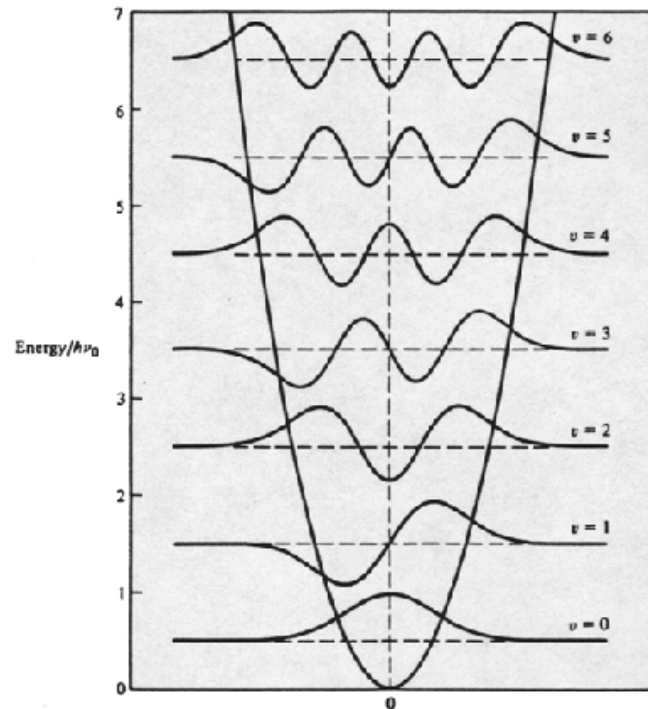


$$C_\Sigma \gtrsim 10 \text{ aF}$$

**Classical Effect, NOT quantum**

# Confinement Energy

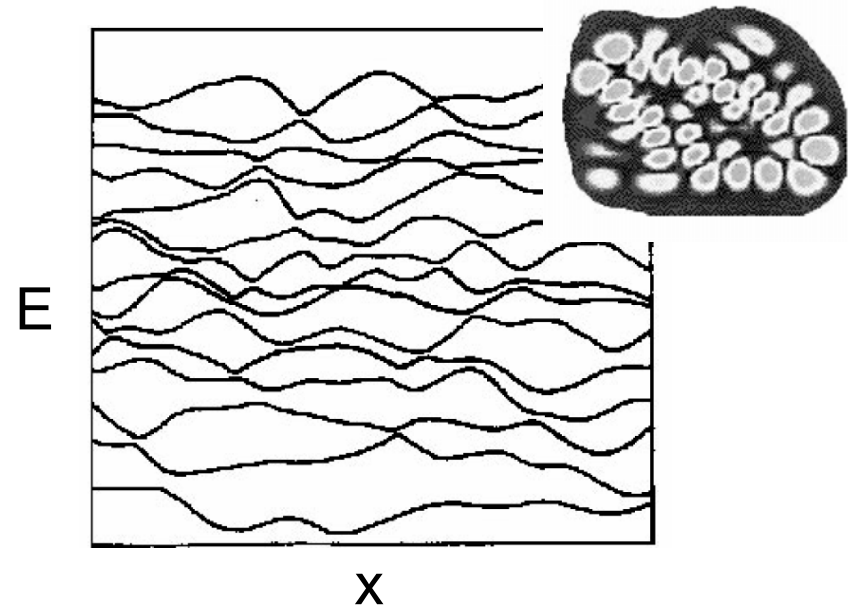
harmonic potential



$$E_n = \left[ n + \frac{1}{2} \right] \hbar\omega$$

$\mu\text{eV}$  to  $\text{meV}$

complicated potential



average level spacing

$$\Delta = \frac{2\pi\hbar^2}{m^*A}$$

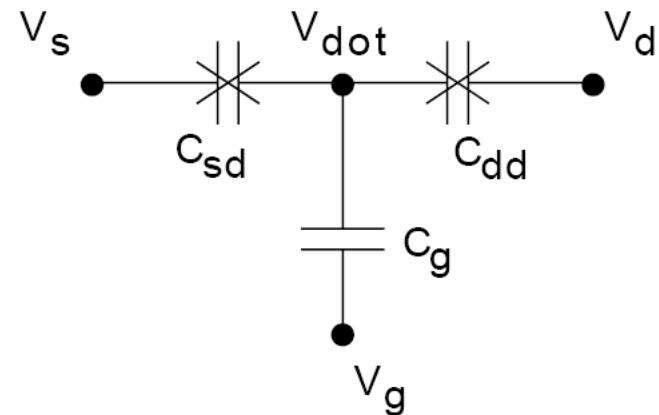
quantum mechanical effect!!

# Capacitor Model

$$E(N) = [Q_{tot}]^2 / (2C_{\Sigma}) + \sum_{k=1}^N \epsilon_k \quad \text{total dot energy}$$

$$E(N) = \left[ e(N - N_0) - \sum_{k=1}^N C_k V_k \right]^2 / (2C_{\Sigma}) + \sum_{k=1}^N \epsilon_k$$

↑  
 offset charge



## Constant Interaction Model

---

$$E_i = \sum_{k=1}^N q_k \phi_k$$

$$q_k = -e$$

$\phi_k$  : interaction of electron k with rest  
constant interaction: model  $\phi_k$  with  $C_\Sigma$

$$\phi_k = -(k-1)e/C_\Sigma$$

$$\begin{aligned} E_i &= \frac{e^2}{C_\Sigma} \sum_{k=1}^N (k-1) \\ &= \frac{N(N-1)e^2}{2C_\Sigma} \end{aligned}$$

$$E(N) = E_{QM} + E_i + E_e \quad \text{total dot energy}$$

$$= \sum_{n=1}^N \epsilon_n + \frac{N(N-1)e^2}{2C_\Sigma} - Ne \sum_{i=1}^6 \alpha_i V_i$$

## Chemical Potential / Addition Energy

---

$$\mu_{\text{dot}}(N) \equiv E(N) - E(N - 1) \quad \text{energy to add one more electron}$$

$\mu=0$ : change N current flows

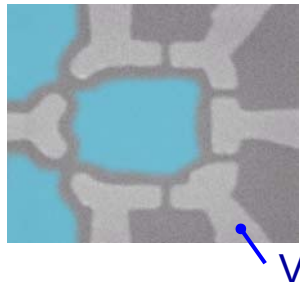
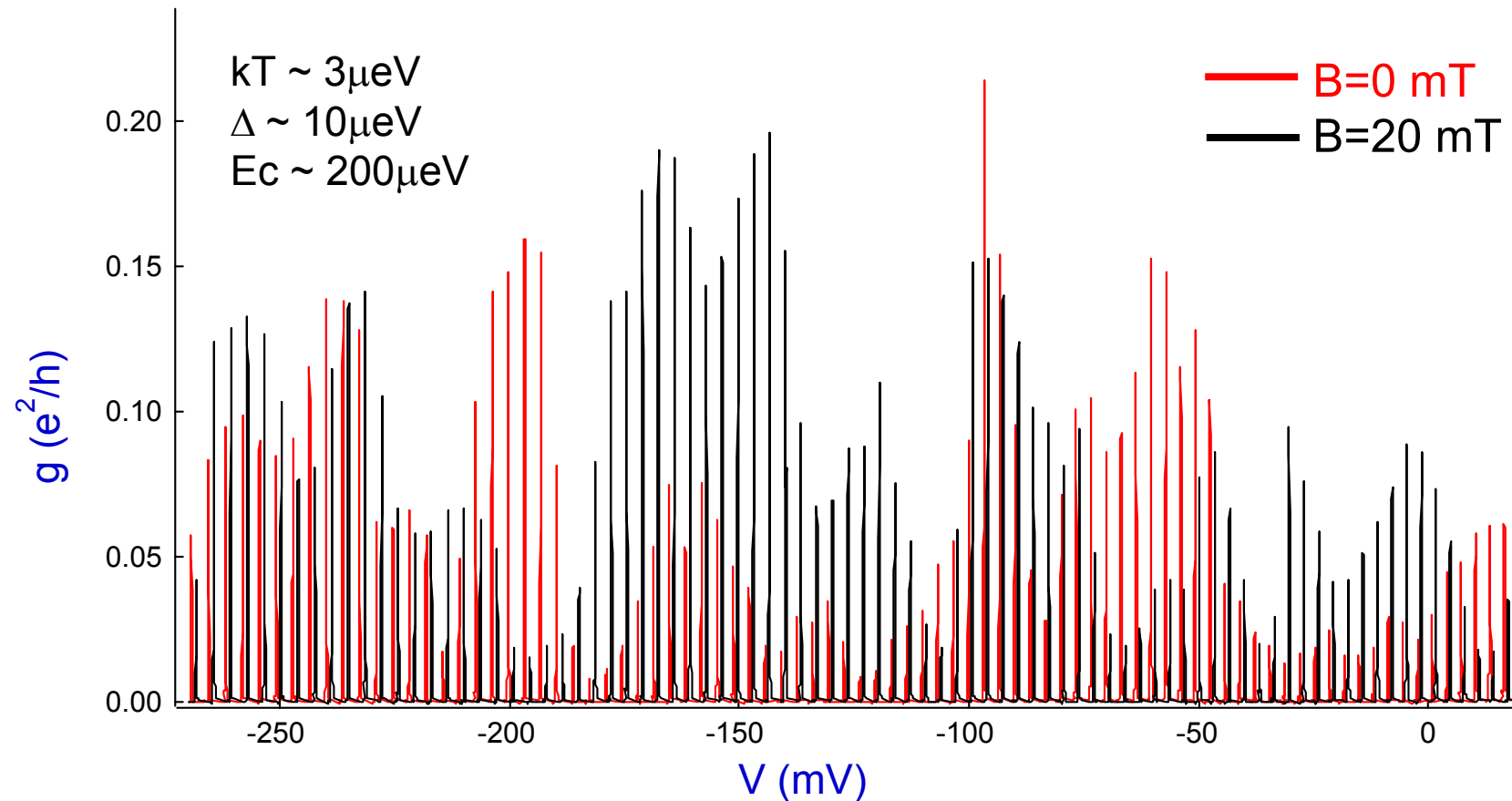
constant interaction model:

$$\mu_{\text{dot}}(N) = \epsilon_N + (N - 1) \frac{e^2}{C} - e \sum_i \alpha_i V_i$$

addition energy

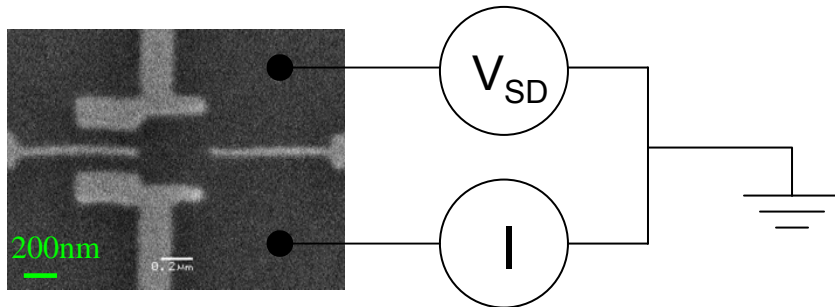
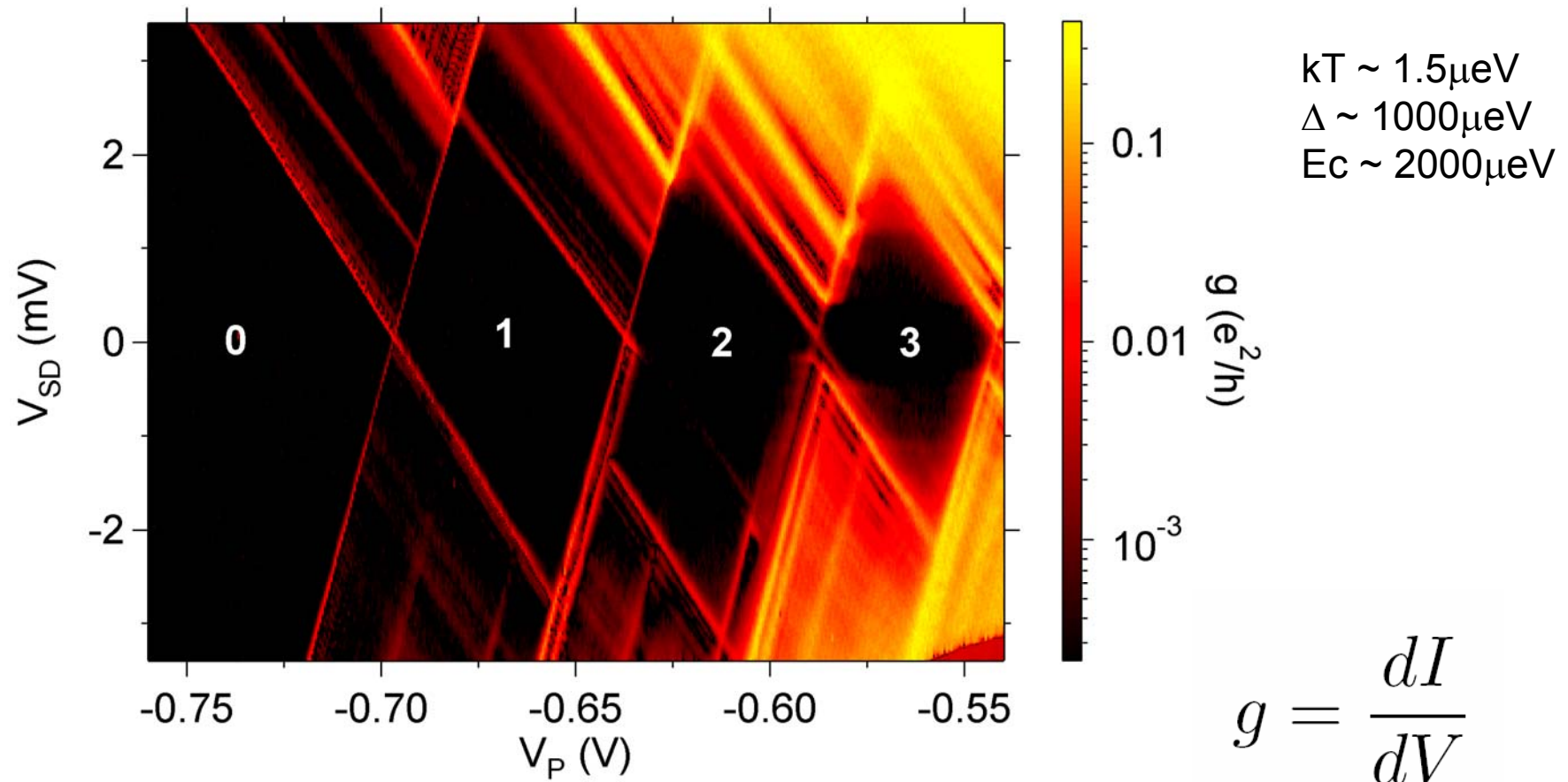
$$\begin{aligned} (\mu_{\text{dot}}(N + 1) - \mu_{\text{dot}}(N))|_{\text{fixed } V_i} &= \epsilon_{N+1} - \epsilon_N + e^2/C_{\Sigma} \\ &\equiv \Delta\epsilon_{N \rightarrow N+1} + U \end{aligned}$$

# Quantum Coulomb Blockade



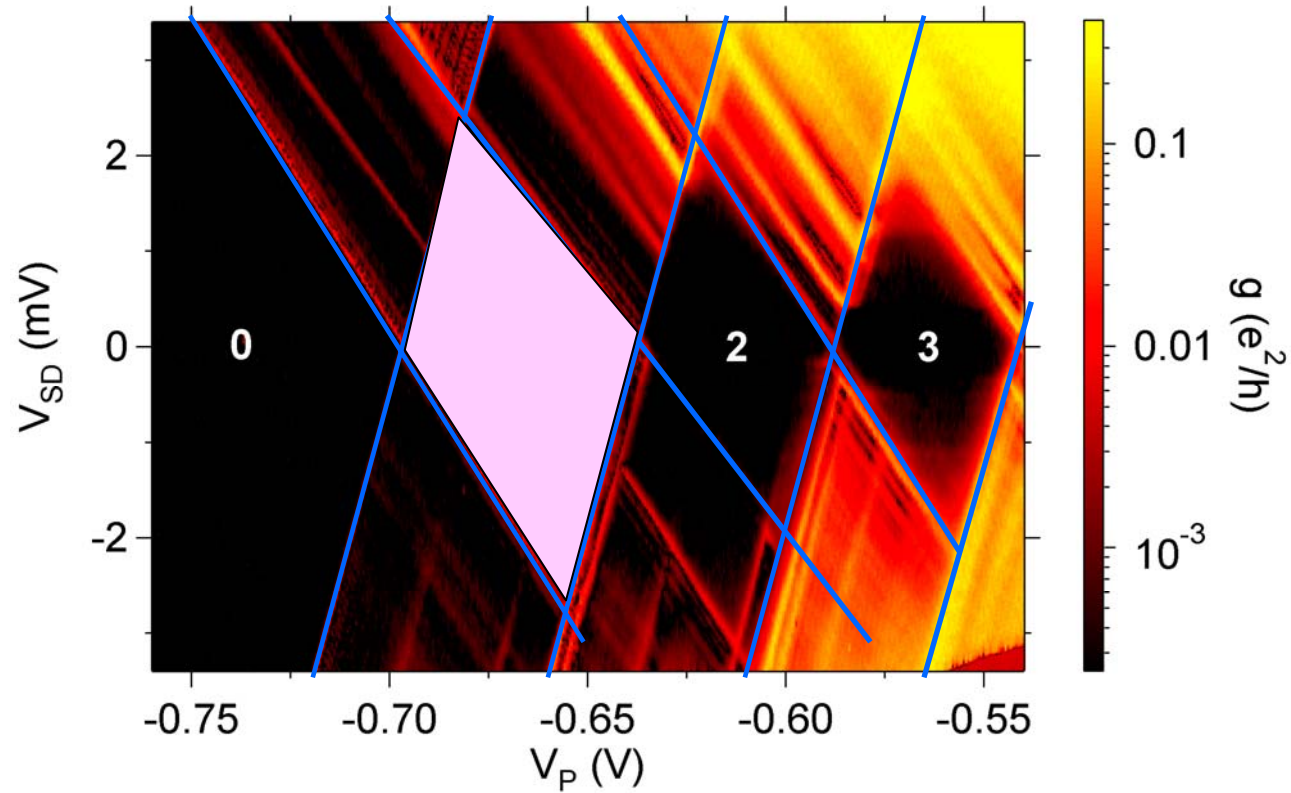
For  $kT < \Delta$ , each peak describes tunnelling into a single eigenstate. Wavefunction amplitude fluctuations lead to peak height fluctuations.

# Coulomb Diamonds

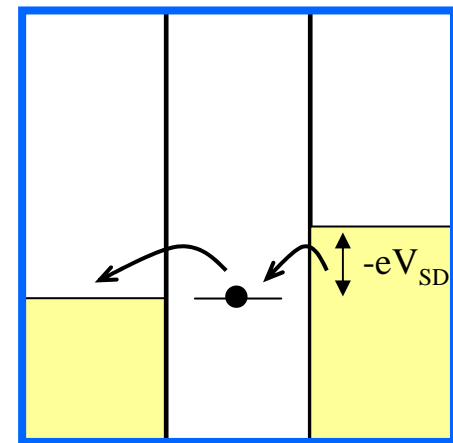
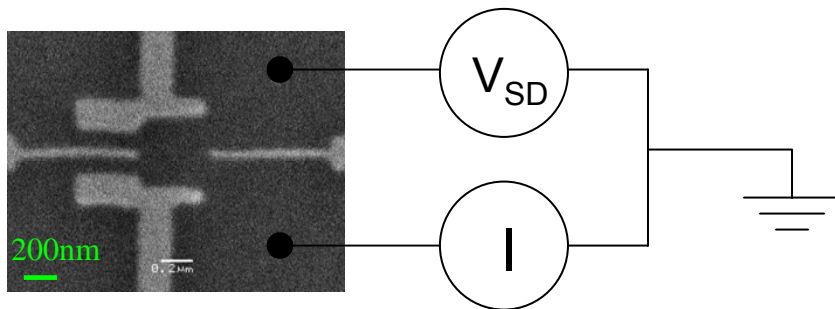


differential conductance:  
peaks when current through  
dot is changing

# Coulomb Diamonds

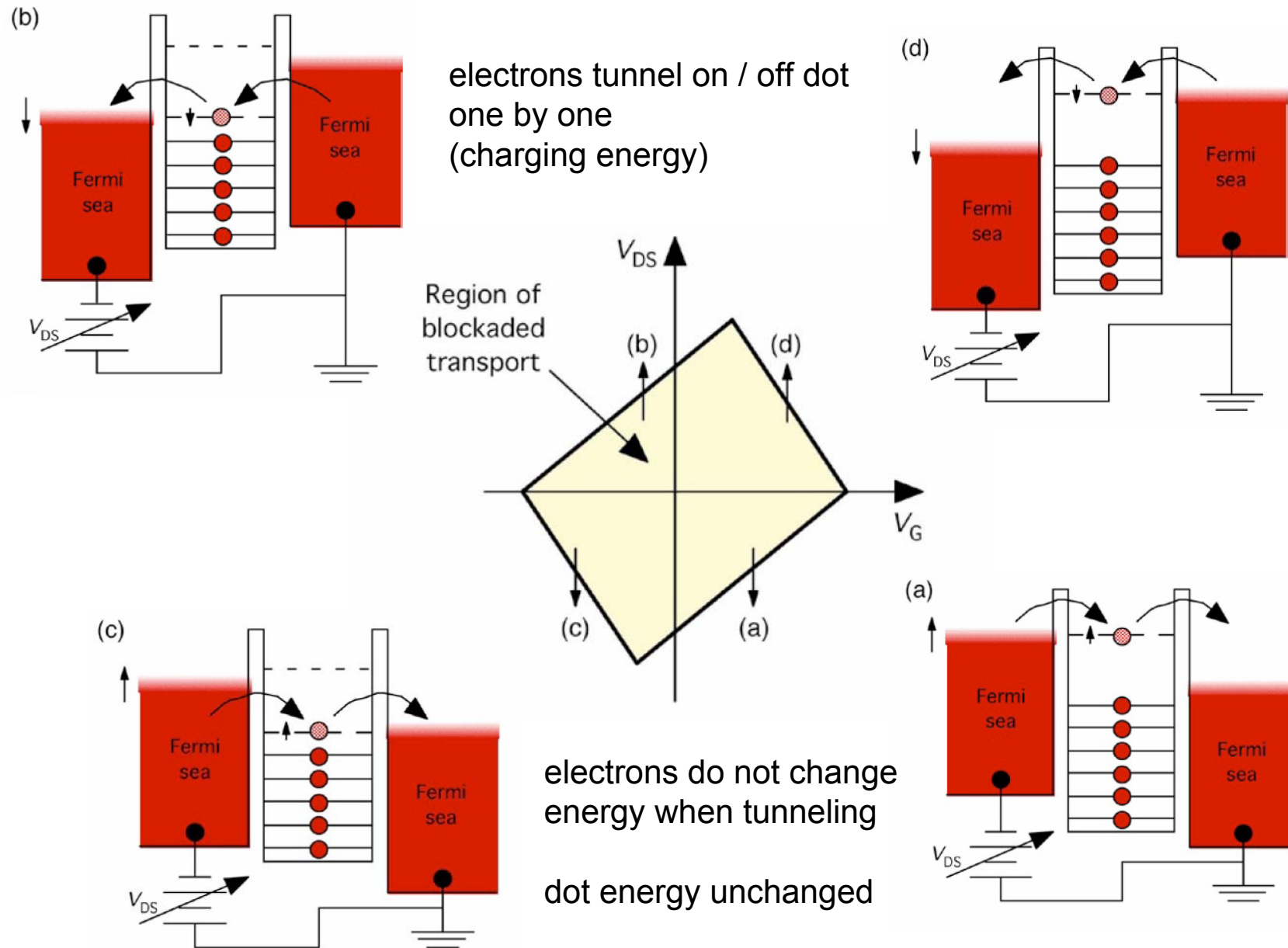


peaks in  $g$  appear when dot level aligned with either source or drain chemical potential



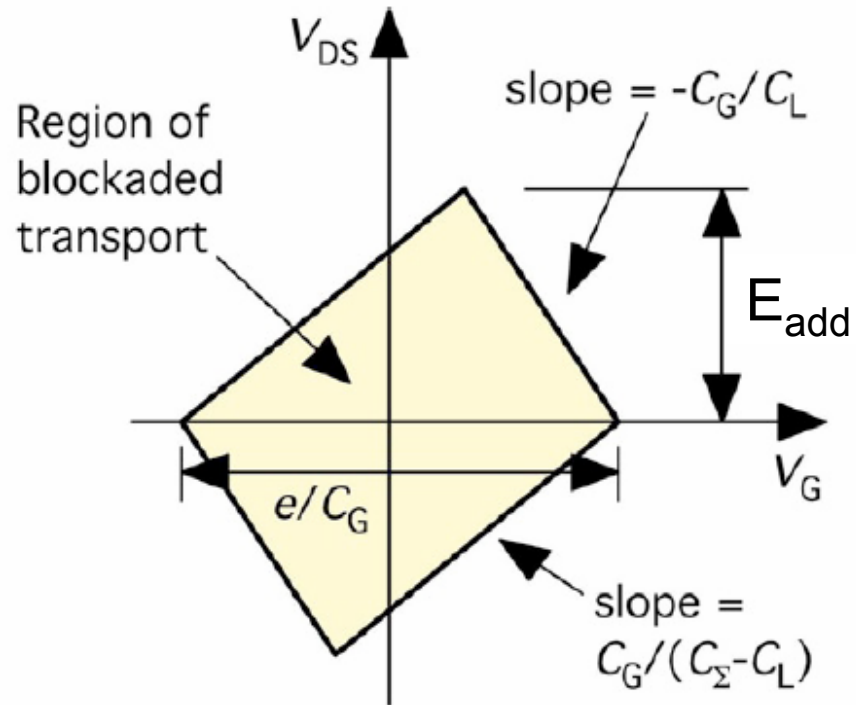


# Coulomb Diamonds, Sequential Tunneling Transport



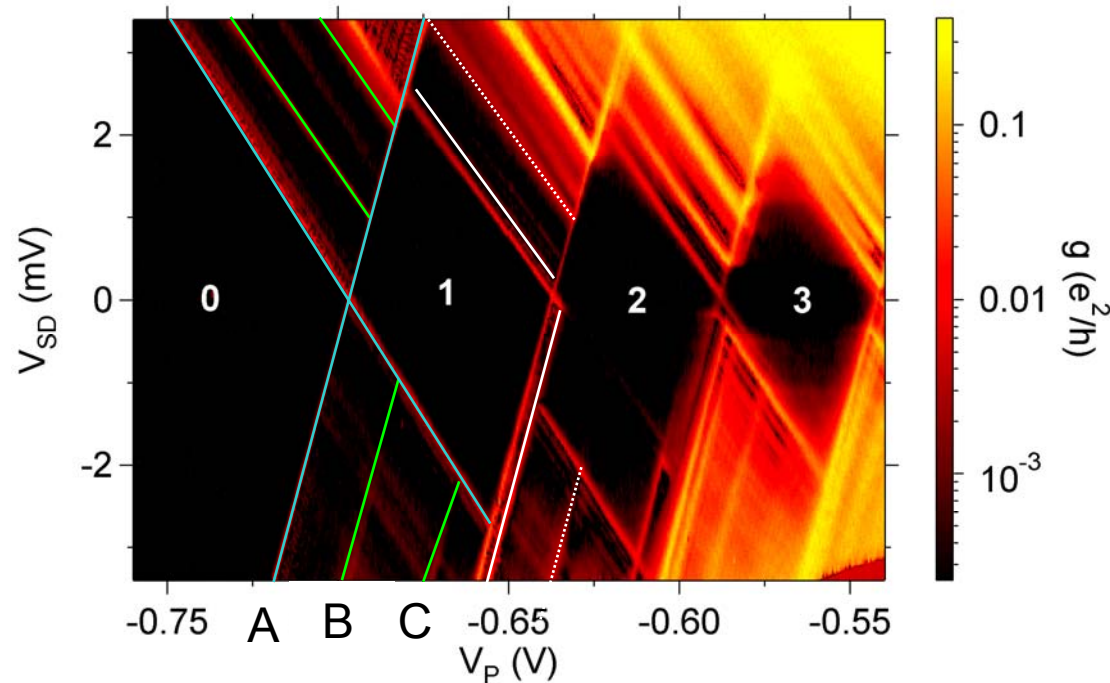
# Coulomb Diamonds

---



two slopes, each associated with its respective dot-lead capacitance

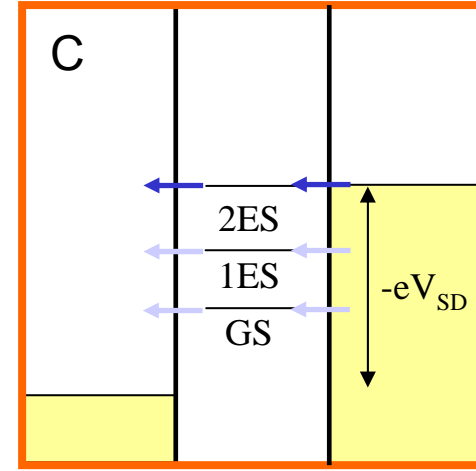
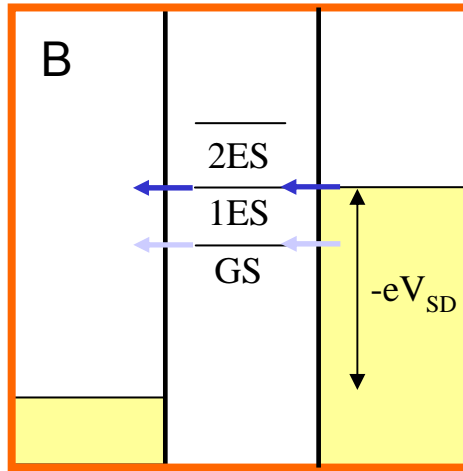
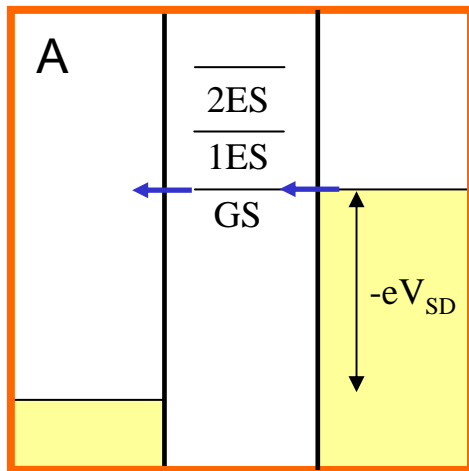
# Excited State Spectroscopy: Sequential Transport



lab to investigate  
quantum levels  
in device!!

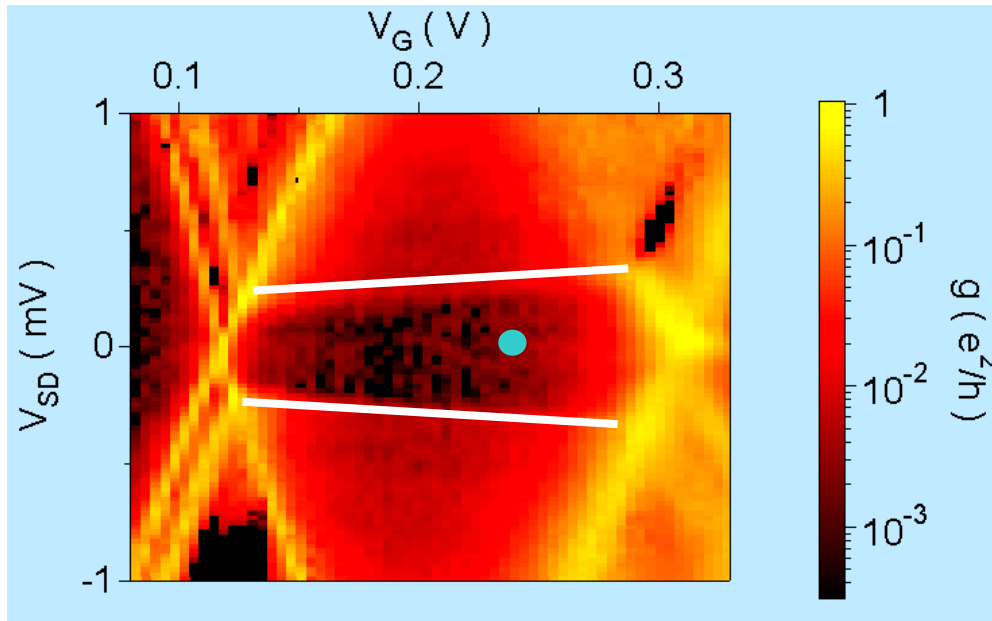
quantum confinement  
energies

internal excitations (spin)

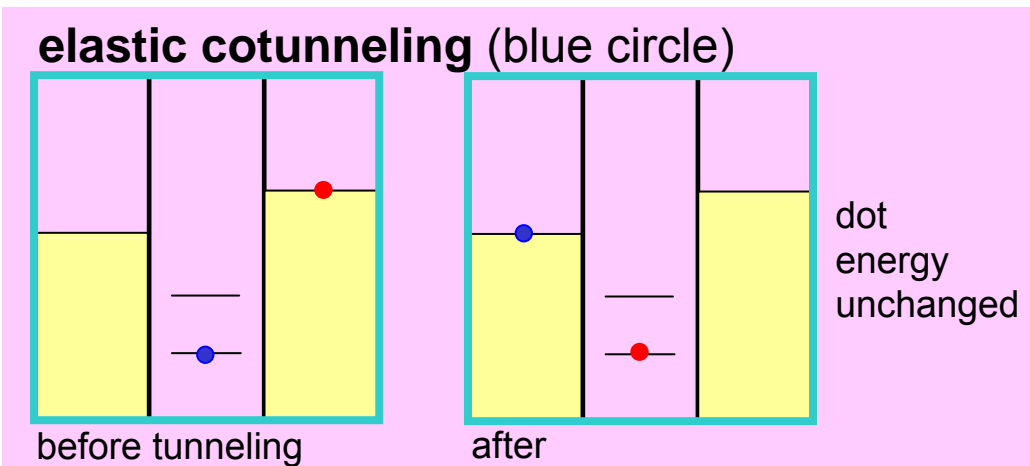


only one excess electron can be on dot (charging energy)

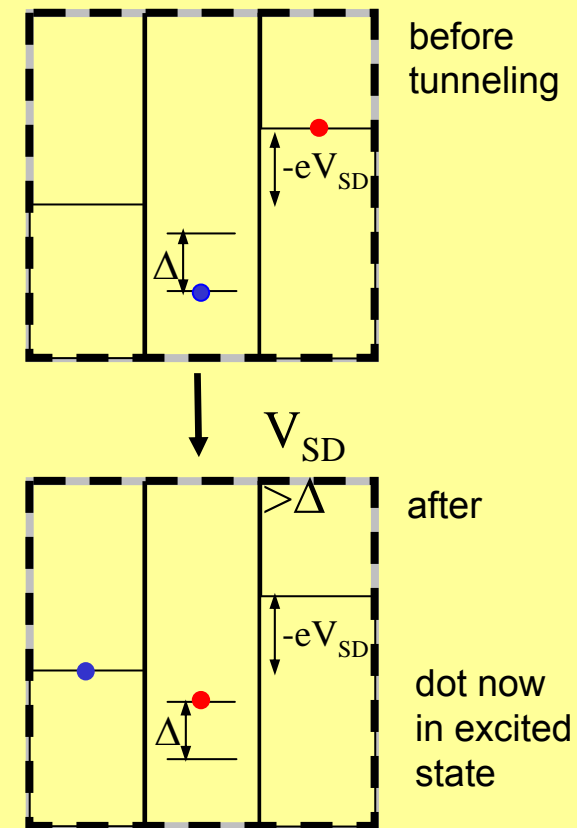
# Cotunneling Transport



higher order process:  
two electrons tunnel and change energy



**inelastic cotunneling**  
(white lines)  
dot energy changes  
only possible for  $V_{SD} > \Delta$



## Temperature Regimes

---

$$\Delta, \frac{e^2}{C} \ll kT$$

no charging effects, no Coulomb blockade

$$g_{\infty} = \left( \frac{1}{g_L} + \frac{1}{g_R} \right)^{-1}$$

$$\Gamma, \Delta \ll kT \ll \frac{e^2}{C}$$

**classical Coulomb** blockade (metallic CB)

temperature broadened

transport through several quantum dot energy levels

$$g \sim \frac{g_{\infty}}{2} \cosh^{-2} \left( \frac{\epsilon}{2.5kT} \right)$$

peak conductance independent of T

FWHM  $\sim 4.35kT$

$$\Gamma = \Gamma_L + \Gamma_R$$

escape broadening (tunneling rates)

# Temperature Regimes

---

$$\Gamma \ll kT \ll \Delta \ll \frac{e^2}{C}$$

**quantum Coulomb** blockade  
temperature broadened regime  
**resonant tunneling**

transport through only one dot level

$$g \sim \frac{e^2}{h} \frac{\gamma}{4kT} \cosh^{-2} \left( \frac{\epsilon}{2kT} \right)$$

peak conductance  $1/T$   
FWHM  $\sim 3.5kT$

$$\gamma = \frac{\Gamma_L \Gamma_R}{\Gamma_L + \Gamma_R}$$

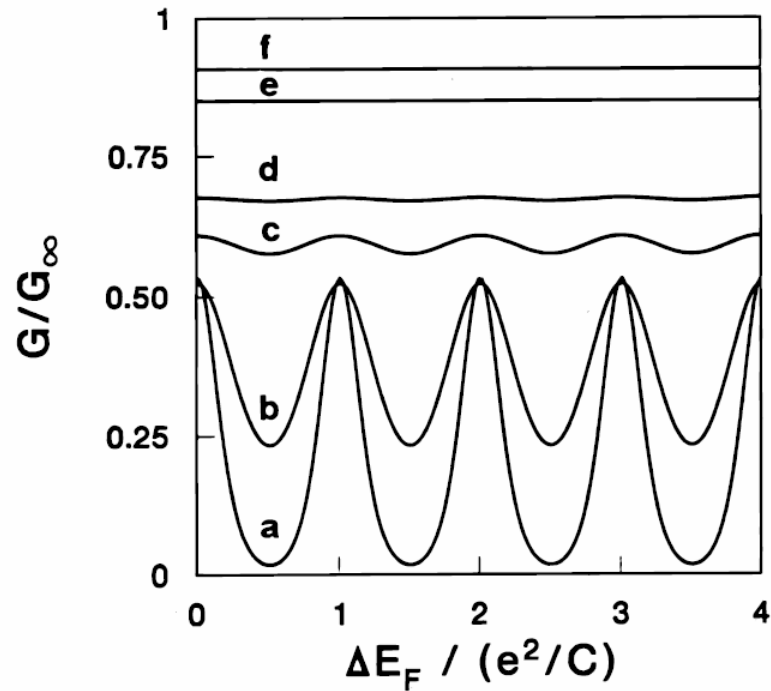
$$kT \ll \Gamma, \Delta \ll \frac{e^2}{C}$$

**quantum Coulomb** blockade  
lifetime broadened regime  
transport through only one dot level

$$g_{BW} \sim \frac{e^2}{h} \frac{\gamma \Gamma}{(\epsilon/\hbar)^2 + (\Gamma/2)^2}$$

peak conductance  $e^2/h$  indep. of T  
FWHM  $\sim \Gamma$

# Temperature Dependence: Theory



$$\Delta = 0.01 e^2/C$$

$$kT / e^2C$$

$$a \ 0.075$$

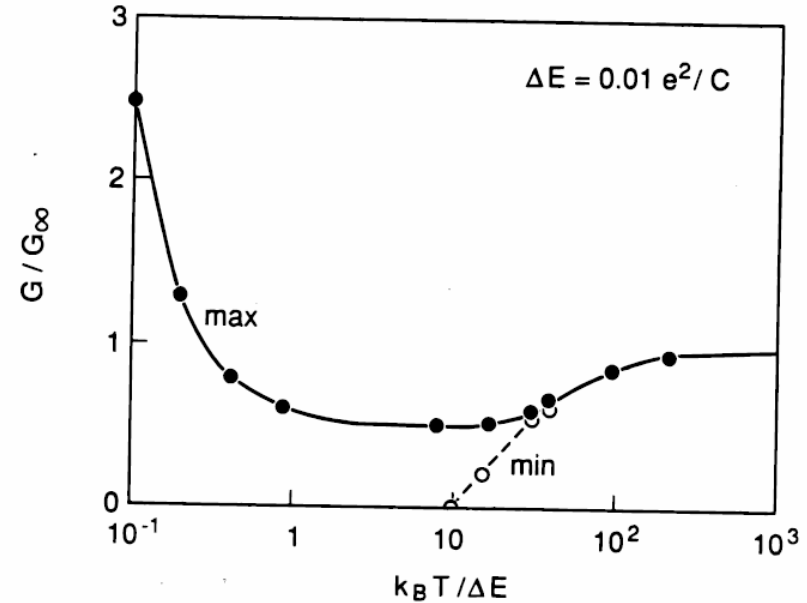
$$b \ 0.15$$

$$c \ 0.3$$

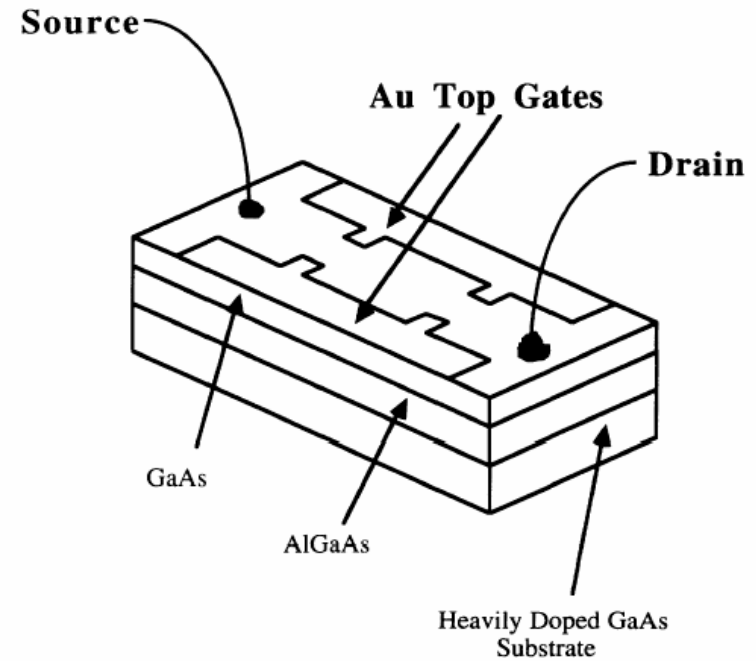
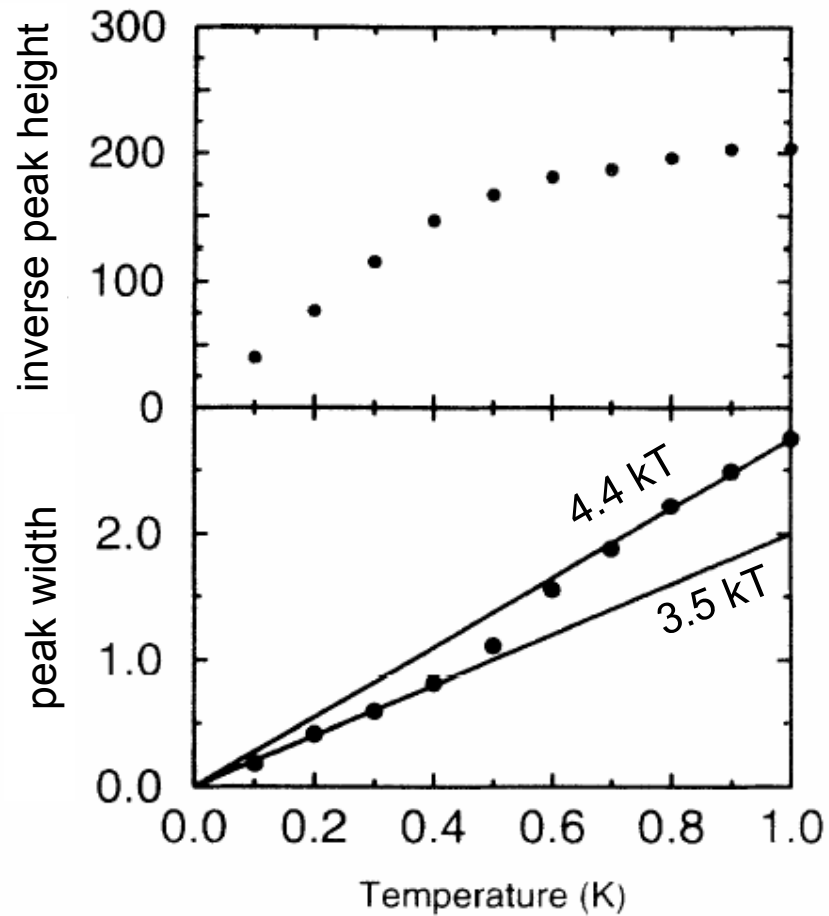
$$d \ 0.4$$

$$e \ 1$$

$$f \ 2$$



# Temperature Dependence: Experiment



crossover 3.5 to 4.3kT peak width

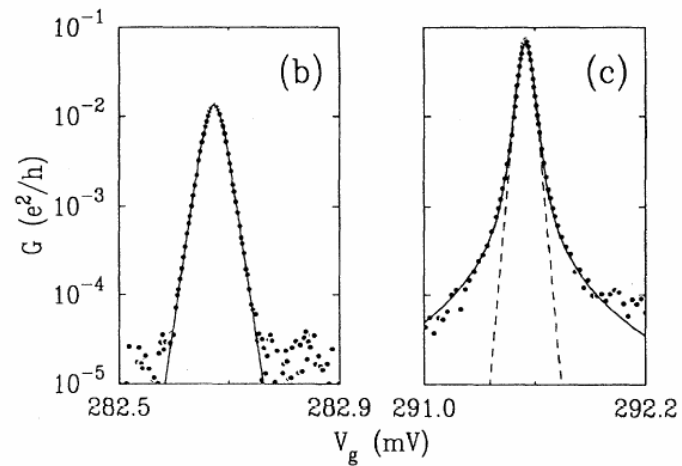
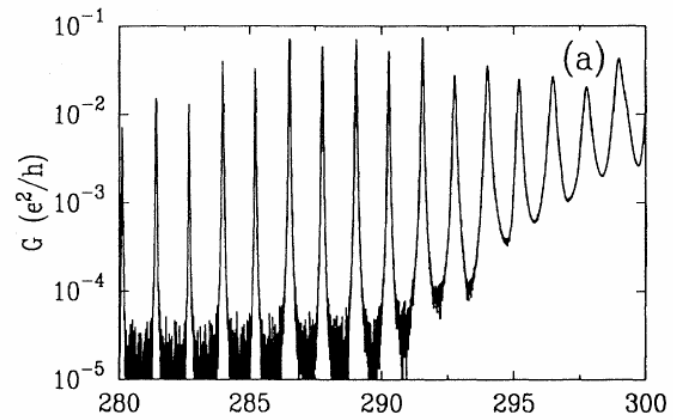
peak  $g$

$1/T$  dependence: quantum regime

$T$  independent: classical regime

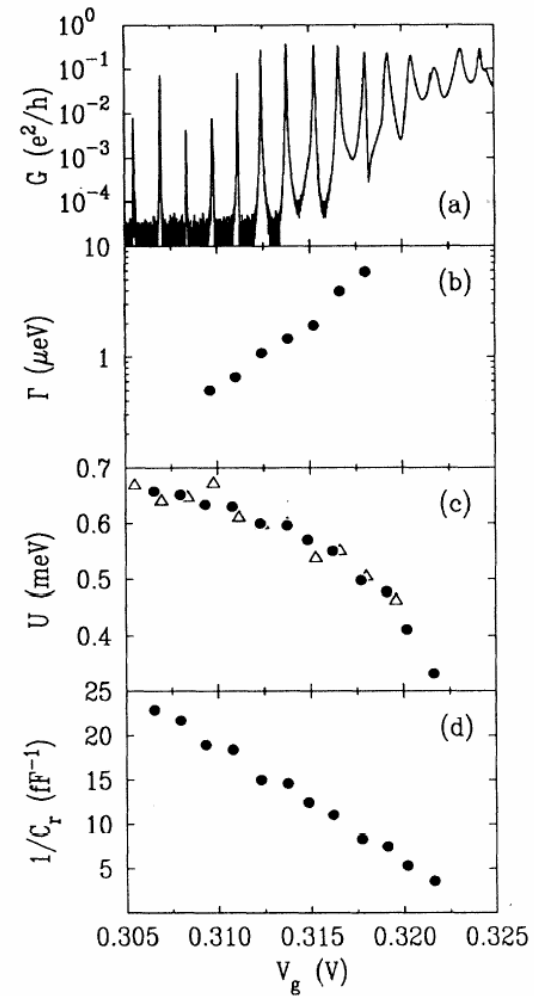


# Line Shapes: Experiments



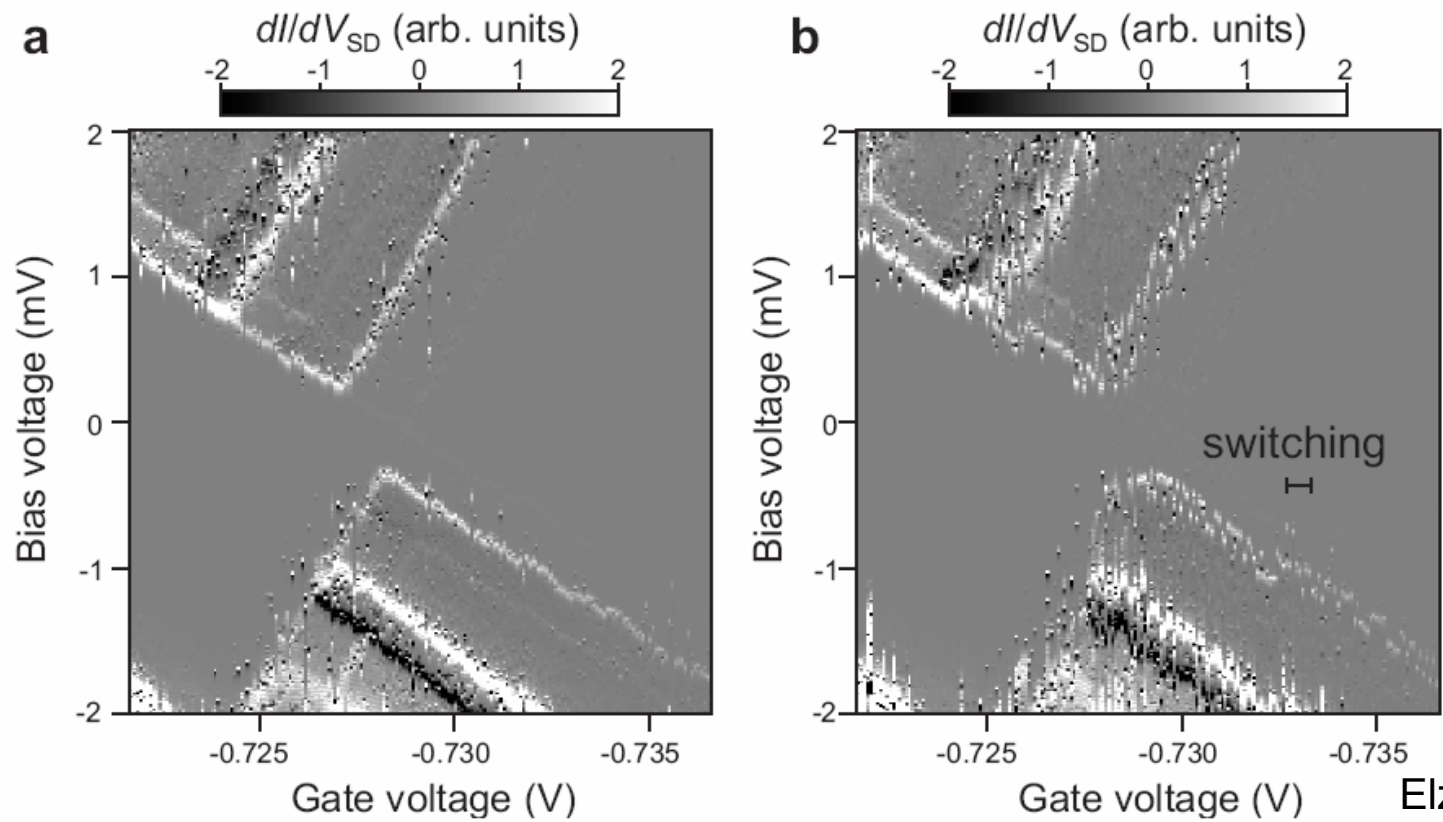
T-broadened

lifetime  
broadened



Foxman et al., PRB47, 10020 (1993)

# Charge Switching / Telegraph Noise



Elzermann, 2003

